## **AMENDMENTS TO THE CLAIMS**

(Original) A process for preparing dialdehydes and/or ethylenically unsaturated
monoaldehydes by reacting at least one compound having at least two ethylenically
unsaturated double bonds with carbon monoxide and hydrogen in the presence of a
hydroformylation catalyst comprising at least one complex of a metal of transition group
VIII with at least one ligand selected from among chelating pnicogen compounds of the
formula I,

$$R^1 \longrightarrow Pn \longrightarrow (O)_a \longrightarrow Q \longrightarrow (O)_b \longrightarrow Pn \longrightarrow R^3$$

$$R^2 \longrightarrow R^4$$

where

Q is a bridging group of the formula

$$\begin{array}{c|c}
R^{II} & & & & R^{IV} \\
R^{I} & & & & & & R^{IV} \\
R^{I} & & & & & & & R^{IV} \\
R^{I} & & & & & & & & R^{IV} \\
R^{I} & & & & & & & & & R^{IV} \\
\end{array}$$

where

A<sup>1</sup> and A<sup>2</sup> are each, independently of one another, O, S, SiR<sup>a</sup>R<sup>b</sup>, NR<sup>c</sup> or CR<sup>d</sup>R<sup>e</sup>, where

R<sup>a</sup>,R<sup>b</sup> and R<sup>c</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl,

 $R^d$  and  $R^e$  are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl or the group  $R^d$  together with a further group  $R^d$  or the group  $R^e$  together with a further group  $R^e$  form an intramolecular bridging group D,

D is a divalent bridging group selected from among the groups

$$R^9$$
  $CH$   $CH$   $R^{10}$   $R^9$   $R^{10}$   $R^{11}$   $R^{12}$   $R^{12}$   $R^{13}$   $R^{14}$ 

where

R<sup>9</sup> and R<sup>10</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, aryl, halogen, trifluoromethyl, carboxyl, carboxylate or cyano or are joined to one another to form a C<sub>3</sub>-C<sub>4</sub>-alkylene bridge,

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R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup> and R<sup>14</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, aryl, halogen, trifluoromethyl, COOH, carboxylate, cyano, alkoxy, SO<sub>3</sub>H, sulfonate, NE<sup>1</sup>E<sup>2</sup>, alkylene-NE<sup>1</sup>E<sup>2</sup>E<sup>3+</sup>X<sup>-</sup>, acyl or nitro,

c 0 or 1,

Y is a chemical bond,

 $R^{I}$ ,  $R^{II}$ ,  $R^{IV}$ ,  $R^{V}$  and  $R^{VI}$  are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl, hetaryl,  $COOR^f$ ,  $COO^-M^+$ ,  $SO_3R^f$ ,  $SO^-_3M^+$ ,  $NE^1E^2$ ,  $NE^1E^2E^{3+}X^-$ , alkylene– $NE^1E^2E^{3+}X^-$ ,  $OR^f$ ,  $SR^f$ ,  $(CHR^gCH_2O)_xR^f$ ,  $(CH_2N(E^1))_xR^f$ ,  $(CH_2CH_2N(E^1))_xR^f$ , halogen, trifluoromethyl, nitro, acyl or cyano,

where

R<sup>f</sup>, E<sup>1</sup>, E<sup>2</sup> and E<sup>3</sup> are identical or different radicals selected from among hydrogen, alkyl, cycloalkyl and aryl,

R<sup>g</sup> is hydrogen, methyl or ethyl,

M<sup>+</sup> is a cation,

X is an anion, and

x is an integer from 1 to 120,

or

two adjacent radicals selected from among R<sup>I</sup>, R<sup>II</sup>, R<sup>III</sup>, R<sup>IV</sup>, R<sup>V</sup> and R<sup>VI</sup> together with two adjacent carbon atoms of the benzene ring to which they are bound for a fused ring system having 1, 2 or 3 further rings,

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a and b are each, independently of one another, 0 or 1,

Pn is a pnicogen atom selected from among the elements phosphorus, arsenic and antimony,

and

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> are each, independently of one another, hetaryl, hetaryloxy, alkyl, alkoxy, aryl, aryloxy, cycloalkyl, cycloalkoxy, heterocycloalkyl, heterocycloalkoxy or an NE<sup>1</sup>E<sup>2</sup> group, with the proviso that R<sup>1</sup> and R<sup>3</sup> are pyrrole groups bound via the nitrogen atom to the pnicogen atom Pn

or R<sup>1</sup> together with R<sup>2</sup> and/or R<sup>3</sup> together with R<sup>4</sup> form a divalent group E of the formula

## Py-I-W

where

- Py is a pyrrole group which is bound via the pyrrole nitrogen atom to the pnicogen atom Pn,
- I is a chemical bond or O, S,  $SiR^aR^b$ ,  $NR^c$ , substituted or unsubstituted  $C_1$ - $C_{10}$ -alkylene or  $CR^hR^i$ ,
- W is cycloalkyl, cycloalkoxy, aryl, aryloxy, hetaryl or hetaryloxy,

and

R<sup>h</sup> and R<sup>i</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl,

or  $R^1$  together with  $R^2$  and/or  $R^3$  together with  $R^4$  form a bispyrrole group of the formula

Py-I-Py

(I.k)

bound via the nitrogen atoms to the pnicogen atom Pn.

2. (Original) A process as claimed in claim 1, wherein at least one ligand of the formula I, in which the radicals R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are selected independently from among groups of the formulae I.a to I.k

(I.h)

(I.i)

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where

Alk is a C<sub>1</sub>-C<sub>4</sub>-alkyl group and

R°, R°, R°, and R° are each, independently of one another, hydrogen, C<sub>1</sub>-C<sub>4</sub>-alkyl, C<sub>1</sub>-C<sub>4</sub>-alkoxy, acyl, halogen, trifluoromethyl, C<sub>1</sub>-C<sub>4</sub>-alkoxycarbonyl or carboxyl, is used.

- 3. (Original) A process as claimed in claim 2, wherein at least one ligand of the formula I, in which the radicals R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are each, independently of one another, a 3-alkylindolyl group, preferably a 3-methylindolyl group, is used.
- 4. (Currently amended) A process as claimed in <u>claim 1, any of the preceding claims</u>, wherein the chelating pnicogen compound of the formula I is selected from among chelating pnicogen compounds of the formula II,

$$R^{19}-(O)_{a}$$
 $Pn$ 
 $(O)_{b}-Q-(O)_{a}$ 
 $Pn$ 
 $(O)_{b}-R^{20}$ 
 $R^{15}$ 
 $R^{18}$ 
 $R^{16}$ 
 $R^{17}$ 
 $R^{18}$ 
 $R^{16}$ 
 $R^{17}$ 
 $R^{18}$ 
 $R^{19}-(O)_{b}-R^{20}$ 
 $R^{19}-(O)_{b}-R^{20}$ 

where

R<sup>15</sup>, R<sup>16</sup>, R<sup>17</sup> and R<sup>18</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl, hetaryl, W'COOR<sup>k</sup>, W'COO'M<sup>+</sup>, W'(SO<sub>3</sub>)R<sup>k</sup>, W'(SO<sub>3</sub>)'M<sup>+</sup>, W'PO<sub>3</sub>(R<sup>k</sup>)(R<sup>l</sup>), W'(PO<sub>3</sub>)<sup>2</sup>-(M<sup>+</sup>)<sub>2</sub>, W'NE<sup>4</sup>E<sup>5</sup>, W'(NE<sup>4</sup>E<sup>5</sup>E<sup>6</sup>)<sup>+</sup>X<sup>-</sup>, W'OR<sup>k</sup>, W'SR<sup>k</sup>, (CHR<sup>l</sup>CH<sub>2</sub>O)<sub>y</sub>R<sup>k</sup>, (CH<sub>2</sub>NE<sup>4</sup>)<sub>y</sub>R<sup>k</sup>, (CH<sub>2</sub>CH<sub>2</sub>NE<sup>4</sup>)<sub>y</sub>R<sup>k</sup>, halogen, trifluoromethyl, nitro, acyl or cyano,

where

W' is a single bond, a heteroatom or a divalent bridging group having from 1 to 20 bridge atoms,

- R<sup>k</sup>, E<sup>4</sup>, E<sup>5</sup>, E<sup>6</sup> are identical or different radicals selected from among hydrogen, alkyl, cycloalkyl and aryl,
- R<sup>1</sup> is hydrogen, methyl or ethyl,
- M<sup>+</sup> is a cation equivalent,
- X is an anion equivalent and
- y is an integer from 1 to 240,

where two adjacent radicals R<sup>15</sup>, R<sup>16</sup>, R<sup>17</sup> and R<sup>18</sup> together with the carbon atoms of the pyrrole ring to which they are bound may also form a fused ring system having 1, 2 or 3 further rings,

with the proviso that at least one of the radicals  $R^{15}$ ,  $R^{16}$ ,  $R^{17}$  and  $R^{18}$  is not hydrogen and  $R^{19}$  and  $R^{20}$  are not joined to one another,

R<sup>19</sup> and R<sup>20</sup> are each, independently of one another, cycloalkyl, heterocycloalkyl, aryl or hetaryl, or R<sup>19</sup> together with R<sup>15</sup> or R<sup>16</sup> and/or R<sup>19</sup> together with R<sup>17</sup> or R<sup>18</sup> form a divalent group

-I-W-

## where

- I is a chemical bond or O, S, SiR<sup>a</sup>R<sup>b</sup>, NR<sup>c</sup> or substituted or unsubstituted C<sub>1</sub>-C<sub>10</sub>-alkylene, preferably CR<sup>h</sup>Ri, where R<sup>a</sup>, R<sup>b</sup>, R<sup>c</sup>, R<sup>h</sup> and R<sup>i</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocycloalkyl, aryl or hetaryl and
- W is cycloalkyl, cycloalkoxy, aryl, aryloxy, hetaryl or hetaryloxy.
- 5. (Currently amended) A process as claimed in <u>claim 1</u>, any of the preceding claims, wherein the chelating pnicogen compound of the formula I is a chelating pnicogen compound of the formulae II.1 to II.3,

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$$R^{19}-(O)_{a}$$
 $P$ 
 $(O)_{b}-Q-(O)_{a}$ 
 $P$ 
 $(O)_{b}-R^{20}$ 
 $R^{18}$ 
 $R^{15}$ 
 $R^{16}$ 
 $R^{16}$ 
 $R^{16}$ 

$$R^{19}-(O)_a$$
  $P(O)_b-Q-(O)_a$   $P(O)_b-R^{20}$  (II.2)

$$R^{19}-(O)_{a}$$
 $P$ 
 $(O)_{b}-Q-(O)_{a}$ 
 $P$ 
 $(O)_{b}-R^{20}$ 
 $N$ 
 $R^{16}$ 
 $R^{17}$ 
 $R^{16}$ 
 $R^{17}$ 
 $R^{16}$ 
 $R^{17}$ 

where

 $R^{15}$ ,  $R^{16}$ ,  $R^{17}$ ,  $R^{18}$ , Q, a and b are as defined in claim 4, where at least one of the radicals  $R^{16}$  and  $R^{17}$  in the formula II.3 is not hydrogen,

 $R^{19}$  and  $R^{20}$  are each, independently of one another, cycloalkyl, heterocycloalkyl, aryl or hetaryl.

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6. (Currently amended) A process as claimed in <u>claim 1</u>, any of claims 1 to 5, wherein the bridging group Q is a triptycenediyl group of the formula

$$R^{II}$$

$$R^{II}$$

$$R^{IV}$$

$$R^{V}$$

or the formula

$$R^{II}$$

$$R^{II}$$

$$R^{IV}$$

$$R^{V}$$

$$R^{V}$$

where R<sup>I</sup>, R<sup>II</sup>, R<sup>III</sup>, R<sup>IV</sup>, R<sup>V</sup> and R<sup>VI</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup> and R<sup>12</sup> are as defined in claim 1.

7. (Currently amended) A process as claimed in <u>claim 1</u>, <u>any of claims 1 to 5</u>, wherein the bridging group Q is a xanthenediyl group of the formula

$$R^{II} \xrightarrow{R^{III}} R^{d} \xrightarrow{R^{e}} R^{IV}$$

$$R^{V}$$

$$Y$$

where R<sup>I</sup>, R<sup>II</sup>, R<sup>III</sup>, R<sup>IV</sup>, RV and R<sup>VI</sup> and Y are as defined in claim 1 and R<sup>d</sup> and R<sup>e</sup> are each, independently of one another, hydrogen, alkyl, cycloalkyl, heterocyloalkyl, aryl or hetaryl.

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8. (Currently amended) A process as claimed in <u>claim 1</u>, any of the preceding claims, wherein a molar ratio of ligand to metal of transition group VIII of from 1:1 to 1000:1 is set in the reaction mixture.

- 9. (Currently amended) A process as claimed in <u>claim 1</u>, any of the preceding claims, wherein the reaction is carried out at from 40 to 80°C.
- 10. (Currently amended) A process as claimed in <u>claim 1</u>, any of the preceding claims, wherein the compound having at least two ethylenically unsaturated double bonds which is used is a a,w-diolefin.
- 11. (Currently amended) A process as claimed in <u>claim 1</u>, any of the preceding claims, wherein
  - (i) a compound having a least two ethylenically unsaturated double bonds is subjected to the hydroformylation reaction in a reaction zone,
  - (ii) an output is taken from the reaction zone and is fractionated to give a fraction enriched in unsaturated monoaldehydes and a fraction depleted in unsaturated monoaldehydes, and
  - (iii) the fraction depleted in unsaturated monoaldehydes is recirculated, if appropriate optionally after work up, to the reaction zone.